

D Van Kampen's Theorem

let G_1 and G_2 be two groups

a word in $G_1 \cup G_2$ is a finite sequence

$$x = (x_1, x_2, \dots, x_n) \quad \text{some } n$$

where each x_i is an element in $G_1 \cup G_2$

define an equivalence relation on the set of words generated by

(1) replace a, b in a sequence with $a \cdot b$ if a, b are in same G_i
(and the reverse of this)

(2) if e_{G_i} is in a sequence remove it (here e_{G_i} is the
identity in G_i)
(and the reverse of this)

exercise: Show this is an equivalence relⁿ

denote the equivalence class of x by $[x]$

call a word $x = (x_1, x_2, \dots, x_n)$ reduced if

$x_i \neq e_{G_i}$ (the identity in either group)

x_i, x_{i+1} from different groups

exercise: each equivalence class $[x]$ contains a unique reduce word.

the free product of G_1 and G_2 is the group $G_1 * G_2$ of all equivalence
classes of words in $G_1 \cup G_2$

multiplication is $[x_1, \dots, x_m] \cdot [y_1, \dots, y_n] = [x_1, \dots, x_m, y_1, \dots, y_n]$

and let e = empty word

note: $e x = x e = x \quad \forall x$

$$x^{-1} = (x_m^{-1}, \dots, x_1^{-1})$$

exercise: Check multiplication is associative
(induction length)

Remark: we could define $G_1 * G_2$ to be the collection of reduced words in $G_1 \cup G_2$ with multiplication

$$(x_1, \dots, x_m) \cdot (y_1, \dots, y_n) = \begin{cases} \text{unique reduced word} \\ \text{in } [x_1, \dots, x_m, y_1, \dots, y_n] \end{cases}$$

Property of free products

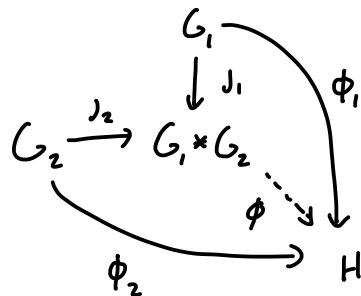
let $j_i: G_i \rightarrow G_1 * G_2$ be the obvious inclusion $i=1, 2$

given any homomorphisms $\phi_i: G_i \rightarrow H$ where H any group

$\exists!$ homomorphism $\phi: G_1 * G_2 \rightarrow H$ (just apply ϕ_i to letters in word)

s.t. $\phi \circ j_i = \phi_i \quad i=1, 2$

Pictorially



exercise: Show property above defines the free product

i.e. if D is any other group satisfying property

then $D \cong G_1 * G_2$

the above property called a universal property

example:

$$\mathbb{Z} * \mathbb{Z} = \{x^{n_1}y^{m_1} \dots x^{n_k}y^{m_k}, x^{n_1}y^{m_1} \dots x^{n_k}, y^{m_1}x^{n_1} \dots x^{n_k}, y^{m_1}x^{n_1} \dots y^{m_k}, e\}$$

$\{x^n\}$ $\{y^m\}$ complete list of elts $n_i, m_j \neq 0$

this is called the free group on 2 generators and denoted F_2

In general the free group on n generators is

$$F_n = F_{n-1} * \mathbb{Z} = \underbrace{\mathbb{Z} * \dots * \mathbb{Z}}_{n - \text{times}} \quad (\text{also have } F_\infty)$$

= {all words in n-letter alphabet, and inverses}

Note: a homomorphism $f: \mathbb{Z} \rightarrow G$ is uniquely specified by $f(1)$ and for any choice $g \in G, \exists!$ homomorphism sending 1 to g .

From above property we see we get a unique homomorphism

$F_n \rightarrow H$ once we specify where the n generators go

A group presentation is a group

$$\langle X | R \rangle$$

where X is some set

and R is a collection of words in the letters $X \cup X^{-1}$

X^{-1} is a copy of X
where $x \in X$ is denoted x^{-1} in X^{-1}
cardinality of X

Let F_n = free group on n generators where $n = |X|$

so we can think of F_n as words in $X \cup X^{-1}$

$\therefore R$ is a collection of elements in F_n

let $\langle R \rangle$ be the smallest normal subgroup of F_n containing R

the group that $\langle X | R \rangle$ is $F_n / \langle R \rangle$

Intuitively: $\langle x_1, \dots, x_n | r_1, \dots, r_m \rangle$ is the group of all words in x_i and x_i^{-1} where if you see r_i you can remove it (you can also insert it anywhere)

examples:

i) $\langle g | g^n \rangle$ this is all words in g and g^{-1}

$$\dots g^{-2}, g^{-1}, e, g, g^2, g^3, \dots, g^n, \dots$$

$$\text{but } g^n = e \text{ so } g^{n+1} = g^n g = g$$

$$\text{and } g^{-1} = g^n g^{-1} = g^{n-1}$$

so every element is of the form $g^k \quad 0 \leq k < n$

exercise: $\langle g | g^n \rangle \rightarrow \mathbb{Z}_n$ ← integers modulo n

$g^k \mapsto [k]$ is an isomorphism

so $\langle g | g^n \rangle$ is a presentation of \mathbb{Z}_n

2) a presentation of \mathbb{Z} is $\langle g | \rangle$

lemma 15:

every group G has a presentation

Proof: given G , let X be a subset of G that generates G

let $n = |X|$ (could be ∞)

$\exists ! \phi: F_n \rightarrow G$

generator to elts X

let $N = \ker \phi \triangleleft F_n$ (normal subgroup)

1st isomorphism theorem says

$$G \cong F_n/N$$

let R be elements of N that generate N

so $G \cong \langle X | R \rangle$

exercises:

1) If $G = \langle g_1, \dots, g_n | r_1, \dots, r_m \rangle$, then for any group H and

any map $\phi: \{g_1, \dots, g_n\} \rightarrow H$ satisfying $\phi(r_i) = e_H$

$\exists ! \text{ homomorphism } \Phi: G \rightarrow H \text{ s.t. } \Phi(g_i) = \phi(g_i)$

2) if $G_1 = \langle g_1, \dots, g_n | r_1, \dots, r_m \rangle$

$G_2 = \langle h_1, \dots, h_k | s_1, \dots, s_\ell \rangle$

then $G_1 * G_2 \cong \langle g_1, \dots, g_n, h_1, \dots, h_k | r_1, \dots, r_m, s_1, \dots, s_\ell \rangle$

given groups G_1 , G_2 and K and homomorphisms

$$\psi_i : K \rightarrow G_i, \quad i=1,2$$

then the free product with amalgamation is

$$G_1 *_K G_2 = \frac{G_1 * G_2}{\langle \psi_1(k)(\psi_2(k))^{-1} \rangle_{k \in K}}$$

where $\langle \psi_1(k)(\psi_2(k))^{-1} \rangle_{k \in K}$ is the smallest normal subgroup of $G_1 * G_2$ containing the set $\{\psi_1(k)(\psi_2(k))^{-1}\}_{k \in K}$

the idea here is that we have words in the elts of G_i but when you see $\psi_i(k)$ in a word you can replace it with $\psi_2(k)$

In terms of presentations, if $G_1 = \langle g_1, \dots, g_n | r_1, \dots, r_m \rangle$

$$G_2 = \langle g'_1, \dots, g'_{n'} | r'_1, \dots, r'_{m'} \rangle$$

$$K = \langle h_1, \dots, h_k | s_1, \dots, s_\ell \rangle$$

then

$$G_1 *_K G_2 \cong \langle g_1, \dots, g_n, g'_1, \dots, g'_{n'} | r_1, \dots, r_m, r'_1, \dots, r'_{m'}, \psi_1(h_1)(\psi_2(h_1))^{-1}, \dots, \psi_1(h_k)(\psi_2(h_k))^{-1} \rangle$$

exercises:

1) prove presentation above is correct

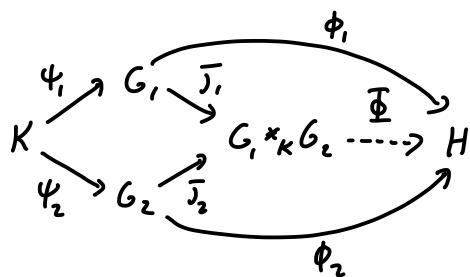
2) let $j_1 : G_1 \rightarrow G_1 * G_2$ be the natural inclusion maps
and $\bar{j}_1 : G_1 \rightarrow G_1 *_K G_2$ the induced maps

given homomorphisms $\phi_i : G_i \rightarrow H$ (H any group)

such that $\phi_i \circ \psi_i(k) = \phi_2 \circ \psi_2(k) \quad \forall k \in K$

then $\exists!$ homomorphism $\Phi : G_1 *_K G_2 \rightarrow H$ st. $\Phi \circ \bar{j}_1 = \phi_i$

Pictorially:



this is the universal property for free products with amalgamation

Th^m 16 (Seifert - Van Kampen):

let X be a path connected space with base point x_0
 suppose $X = A \cup B$ where

A, B and $A \cap B$ are open, path connected sets and

$$x_0 \in A \cap B$$

let $\psi_A : \pi_1(A \cap B, x_0) \rightarrow \pi_1(A, x_0)$ and

$$\psi_B : \pi_1(A \cap B, x_0) \rightarrow \pi_1(B, x_0)$$

be the maps induced by inclusion

$$\begin{matrix} A \cap B & \subset & A \\ & \subset & B \end{matrix}$$

Then

$$\pi_1(X, x_0) \cong \pi_1(A, x_0) *_{\pi_1(A \cap B, x_0)} \pi_1(B, x_0)$$

Remark: More generally, if $\{A_\alpha\}$ a collection of path-connected open sets in X , $x_0 \in A_\alpha$, $A_\alpha \cap A_\beta$, $A_\alpha \cap A_\beta \cap A_\gamma$ path connected $\forall \alpha, \beta, \gamma$ then
 $\Phi : *_{\pi_1(A_\alpha, x_0)} \pi_1(A_\alpha, x_0) \rightarrow \pi_1(X, x_0)$ surjective with $\ker \Phi$

the smallest normal subgroup N containing $(i_{\alpha\beta})_*(g)(i_{\beta\gamma})_*(g)(i_{\alpha\gamma})_*(g)^{-1}$
 where $i_{\alpha\beta} : A_\alpha \cap A_\beta \rightarrow A_\alpha$ is inclusion

We can now compute lots of fundamental groups

example: 1)

let W_2 = "wedge of two circles" = $S^1 \vee S^1$

$$= \text{two circles} \quad \begin{matrix} \text{think of as CW complex} \\ \text{or subset of } \mathbb{R}^2 \end{matrix}$$

$$\text{let } A = \text{two circles} = \text{circle}$$

exercise: 1) $A \cap B \cong \{x_0\}$

2) $A \cong S^1 \cong B$

$$\text{and similarly } B = \text{circle}$$

$$\text{so } W_2 = A \cup B$$

$$x_0 \in A \cap B = \text{X path connected}$$

$$\text{so } \pi_1(A, x_0) \cong \mathbb{Z} \cong \langle g, 1 \rangle$$

$$\pi_1(B, x_0) \cong \mathbb{Z} \cong \langle g, 1 \rangle$$

$$\pi_1(A \cap B, x_0) \cong \{e\}$$

$$\psi_A : \pi_1(A \cap B, x_0) \rightarrow \pi_1(A, x_0) \quad \text{similarly for } \psi_B$$

$$e \mapsto e$$

so

$$\begin{aligned} \pi_1(W_2, x_0) &\cong \pi_1(A, x_0) *_{\pi_1(A \cap B, x_0)} \pi_1(B, x_0) \\ &\cong \langle g_1 | \rangle *_{\{e\}} \langle g_2 | \rangle \\ &\cong \langle g_1, g_2 | \rangle \cong F_2 \quad \text{the free group on} \\ &\quad 2 \text{ generators} \end{aligned}$$

exercise: 1) if $W_n = W_{n-1} \vee S^1$  wedge of n -circles

$$\text{then } \pi_1(W_n, x_0) \cong F_n$$

2) if X is any connected graph, then $\pi_1(X) \cong F_n$ for some n

$$2) T^2 = \text{circle} \cong \text{square with arrows} \quad \begin{array}{l} \text{(we know } T^2 = S^1 \times S^1 \\ \text{so } \pi_1(T^2) = \mathbb{Z} \oplus \mathbb{Z}, \text{ but we} \\ \text{now compute via Van Kampen) } \end{array}$$

$$\text{let } A = \text{square with arrows} \quad \text{and } B = \text{square with dashed boundary and circle} \cong \text{square with dashed boundary and loop}$$

$$\text{exercice: 1) } A \cong \text{circle} = W_2$$

$$2) B \cong \{pt\}$$

$$3) A \cap B = \text{circle with base point } x_0 \cong \text{circle} \cong S^1$$

$$\text{so } \pi_1(A, x_0) \cong \langle g_1, g_2 | \rangle$$

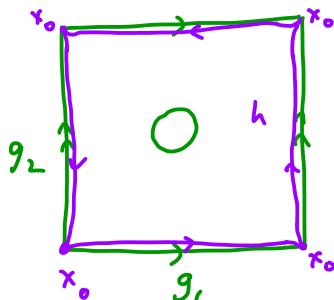
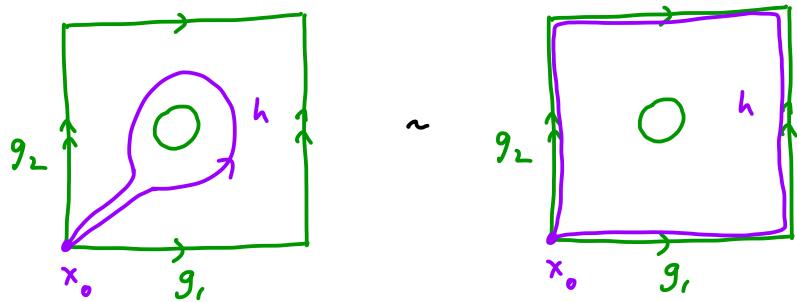
$$\pi_1(B, x_0) = \{e\}$$

$$\pi_1(A \cap B, x_0) = \langle h | \rangle$$

note: $\psi_B : \pi_1(A \cap B, x_0) \rightarrow \pi_1(B, x_0)$ trivial map

what about ψ_A ?

Claim $\psi_A(h) = g_1 g_2 g_1^{-1} g_2^{-1}$



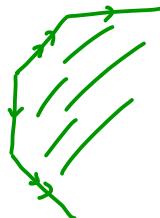
$$h \sim g_1 * g_2 * \bar{g}_1 * \bar{g}_2$$

$$\begin{aligned} \text{so } \pi_1(T^2, x_0) &\cong \pi_1(A, x_0) *_{\pi_1(A \cap B, x_0)} \pi_1(B, x_0) \\ &\cong \langle g_1, g_2 | \rangle *_{\langle h \rangle} \{e\} \\ &\cong \langle g_1, g_2 | g_1 g_2 g_1^{-1} g_2^{-1} \rangle \cong \mathbb{Z} \oplus \mathbb{Z} \end{aligned}$$

↑ exercise

exercise: 1) if Σ_n is the surface of genus n

$$\text{a circle } \approx \text{4n-gon w/ identifications}$$



$$\text{then } \pi_1(\Sigma_g, x_0) = \langle g_1, g_2 \dots g_{2n} \mid \prod_{i=1}^n [g_{2i-1}, g_{2i}] \rangle$$

$$\text{where } [h, k] = hkh^{-1}k^{-1}$$

"commutator"

2) this is non abelian for $n > 1$
(quotient out even g_n 's)

$$3) \Sigma_n \cong \Sigma_m \Leftrightarrow \Sigma_n \simeq \Sigma_m \Leftrightarrow n = m$$

Thm 17:

let X be path connected

$f: \partial D^n \rightarrow X$ be continuous

$$x_0 \in \partial D^n$$

$$\text{Set } Y = X \cup_f D^2 = (X \amalg D^2) /_{x \in \partial D^2 \sim f(x) \in X}$$

Then

$$\pi_1(Y, x_0) \cong \begin{cases} \pi_1(X, f(x_0)) * \mathbb{Z} & n=1 \\ \pi_1(X, f(x_0)) / \langle r \rangle & n=2 \quad r = f_*(g) \text{ and } g \text{ generates } \pi_1(\partial D^2, x_0) \\ \pi_1(X, f(x_0)) & n \geq 3 \end{cases}$$

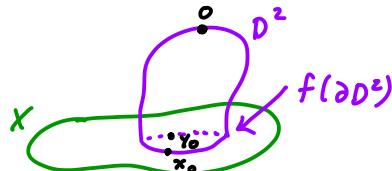
* need X to have a point $f(x_0) \in X$ with open nbhd $V \cong \{f(x_0)\}$

Remarks: 1) So attaching 1-cell adds generator to π_1 (usually)
 " " 2-cell adds a relation to π_1 ,
 " " $n \geq 3$ -cell doesn't change π_1

2) This allows us to compute π_1 of any CW complex!

$\therefore \pi_1$ of any manifold and many other spaces

Proof: $n=2$:



$$\text{let } A = X \cup_f \underbrace{S^1 \times [0,1]}_{D^2 - \{0\}} \simeq X$$

$B = \text{interior of } D^2 \cong \{\text{pt}\}$

$A \cap B = (\text{int } D^2) - \{0\} \cong S^1 \quad \text{let } y_0 \in A \cap B \text{ that goes to } f(x_0) \in X \text{ under}$

$$\psi_A: \pi_1(A \cap B, y_0) \xrightarrow{\text{sh}} \pi_1(A, y_0)$$

$$\langle g_1 \rangle \xrightarrow{\text{sh}} \pi_1(X, f(x_0))$$

$$g \longmapsto f_*(g)$$

def. retraction $A \simeq X$

$$\psi_B(g) = e$$

$$\text{so } \pi_1(Y, x_0) \cong \pi_1(X, f(x_0)) *_{\mathbb{Z}} \{e\} \cong \pi_1(X, f(x_0)) / \langle f_*(g) \rangle$$

exercise: Work out cases $n \neq 2$

Why do you need $*$ for $n=1$?

(or 18: _____)

let G be any group with finite presentation
then \exists a topological space X st. $\pi_1(X, x_0) \cong G$

Proof: let $G \cong \langle g_1, \dots, g_n | r_1, \dots, r_m \rangle$

let $W_n =$ wedge of n circles

$$\pi_1(W_n, x_0) \cong \langle g_1, \dots, g_n | \rangle$$

for each r_i let $f_i: \partial D^2 \rightarrow W_n$ be a continuous map

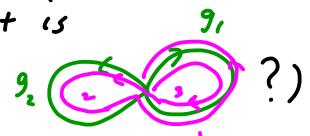
$$\text{s.t. } (f_i)_*(1) = r_i$$

↑ gen of $\pi_1 = \pi_1(S')$

exercise: Why do we know such an f_i exists?

$$\text{let } X = W_n \cup_{f_i} (\coprod_{i=1}^m D^2)$$

(what is



$$\pi_1(X, x_0) \cong G \text{ by Thm 17}$$

Proof of Seifert-Van Kampen Thm 16:

$$\text{let } \phi_A: \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$$

$\phi_B: \pi_1(B, x_0) \rightarrow \pi_1(X, x_0)$ be the homomorphisms induced
by inclusions $A \subseteq X$
 $B \subseteq X$

let

$$\Phi: \pi_1(A, x_0) * \pi_1(B, x_0) \rightarrow \pi_1(X, x_0)$$

be the homomorphism induced on the free product

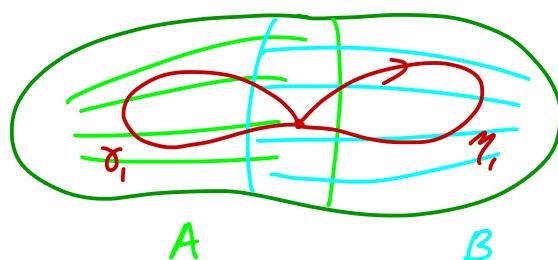
$$\text{i.e. } \Phi([\gamma_1][\eta_1] \dots [\gamma_n][\eta_n]) = \phi_A([\gamma_1]) \cdot \phi_B([\eta_1]) \cdots \phi_B([\eta_n])$$

note: if $[\gamma] \in \pi_1(A \cap B, x_0)$

$$\text{then } \phi_A \circ \psi_A([\gamma]) = [\gamma] = \phi_B \circ \psi_B([\gamma])$$

↑ as loops

$$\text{so } \Phi(\psi_A([\gamma])(\psi_B([\gamma]))^{-1}) = [\gamma] \cdot [\gamma]^{-1} = e$$



$$\text{so } K = \left\langle \Psi_A([\sigma]) (\Psi_B([\tau]))^{-1} \right\rangle_{[\sigma] \in \pi_1(A, x_0), [\tau] \in \pi_1(B, x_0)} \subset \ker \Phi$$

$\therefore \Phi$ induces a homomorphism, still denoted Φ ,

$$\Phi: \pi_1(A, x_0) *_{\pi_1(A \cap B, x_0)} \pi_1(B, x_0) \rightarrow \pi_1(X, x_0)$$

lemma 9 say Φ is surjective!

Claim: Φ injective

$$\text{suppose } [\gamma_1] \in \pi_1(A, x_0), [\gamma_2] \in \pi_1(B, x_0)$$

$$\text{and } \Phi([\gamma_1][\gamma_2] \dots [\gamma_n]) = [\gamma_1 * \gamma_2 * \dots * \gamma_n] = e \quad \textcircled{*}$$

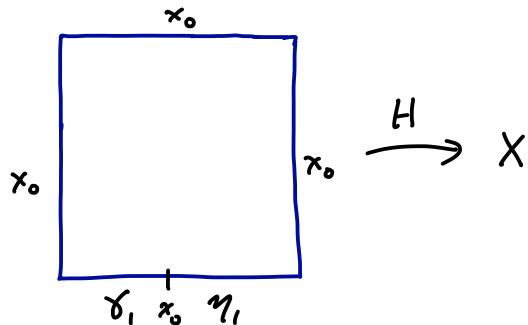
We need to show we can get from the word

$$[\gamma_1][\gamma_2] \dots [\gamma_n]$$

to the empty word by a sequence of

- doesn't change word in $\pi_1(A) * \pi_1(B)$
- (1) replace a, b by $a \cdot b$ if a, b in same group (and reverse of this)
- doesn't change word in $\pi_1(A) * \pi_1(A \cap B)$
- (2) if we see $\Psi_A(k)$ then replace it with $\Psi_B(k)$ (and the converse of this)

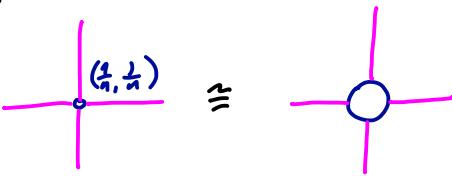
to this end $\textcircled{*}$ says we have a homotopy



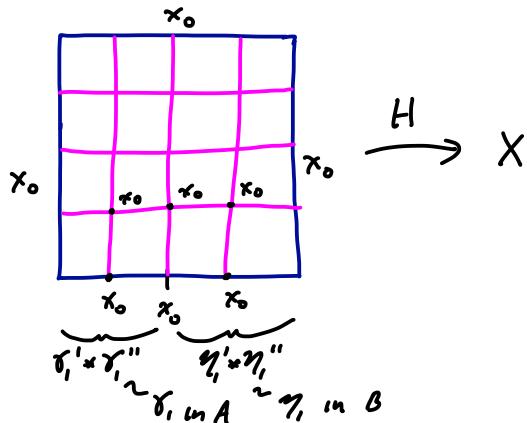
as in proof of lemma 12(6) can use Lebesgue number lemma to find n sf. squares of side length $\frac{1}{n}$ are mapped by H into A or B (can assume # of γ_i 's divides n)

exercise: Can assume $H(\frac{1}{n}, \frac{1}{n}) = x_0$

(change H and γ_i, η_j
but by homotopy hint:
in A or B , respectively)



so we have

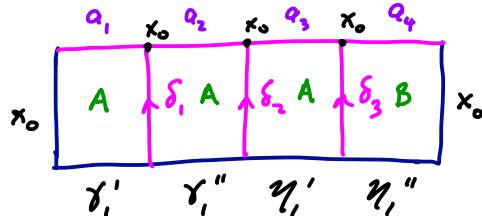


on radial lines of disk use path δ
 x_0 to original $H(\frac{1}{n}, \frac{1}{n})$



look at bottom row

e.g.



each $a_i \subset A$ or B

$\delta_i \subset A$ or B in $A \cap B$

if adjacent squares
not both in some
 A or B

note:

$$\begin{aligned} \gamma_i * \gamma'_i &\sim \gamma_i * \gamma'_i * \delta_3 * \bar{\delta}_3 \text{ in } G \\ \gamma_i * \gamma'_i * \delta_3 &\sim a_i * a_2 * a_3 \text{ in } G \\ \bar{\delta}_3 * \gamma''_i &\sim a_4 \text{ in } H \end{aligned}$$

$$\begin{aligned} [\gamma_i]^G, [\gamma_i]^H &= [\gamma_i], ([\gamma'_i] \cdot [\gamma'', i])^H \\ &\stackrel{(1)}{=} [\gamma_i], [\gamma'_i]^H, [\gamma'', i]^H \\ &\stackrel{(2)}{=} [\gamma_i], [\gamma'_i]^G, [\gamma'', i]^H \\ &\stackrel{(1)}{=} ([\gamma_i] \cdot [\gamma'_i])^G, [\gamma'', i]^H \\ &= [\gamma_i * \gamma'_i * \delta_3 * \bar{\delta}_3], [\gamma'', i]^H \\ &= ([\gamma_i * \gamma'_i * \delta_3] \cdot [\bar{\delta}_3]), [\gamma'', i]^H \\ &\stackrel{(1)}{=} [\gamma_i * \gamma'_i * \delta_3]^G, [\bar{\delta}_3]^H, [\gamma'', i]^H \\ &\stackrel{(2)}{=} [\gamma_i * \gamma'_i * \delta_3]^G, [\bar{\delta}_3], [\gamma'', i]^H \\ &\stackrel{(1)}{=} [\gamma_i * \gamma'_i * \delta_3]^G, ([\bar{\delta}_3] \cdot [\gamma'', i])^H \\ &= [\gamma_i * \gamma'_i * \delta_3]^G, [\bar{\delta}_3 * \gamma'', i]^H \\ &= [a_i * a_2 * a_3]^G, [a_4]^H \end{aligned}$$

$$G = \pi_1(A, x_0) \quad H = \pi_1(B, x_0)$$

so we can go from

one line $[0, 1] \times \{\frac{i}{n}\}$ to next
by "amalgamation relations"

The "formal proof" (maybe don't do in class)

we show how to go from the word defined by $[0,1] \times \{\frac{1}{n}\}$
to the one given by $[0,1] \times \{\frac{2+i}{n}\}$ using (1) and (2)

inductively we have a sequence of n elements $[a_1] \dots [a_n]$

where $a_j = H|_{[\frac{j}{n}, \frac{j+1}{n}] \times \{\frac{1}{n}\}}$

each $[a_j] \in G = \pi_1(A, x_0)$ or $H = \pi_1(B, x_0)$

(when $j=0$, need to use (1) to break γ_1, γ_2 into
smaller loops)

Step 1: Use (2) to arrange each $[a_j]$ is in G or H
according to whether the "square above" a_j
is in A or B , respectively

Step 2: if at $\frac{1}{n}$ the "squares" change from being in
 A to being in B (or vice versa)

then add $\delta_j = H|_{\{\frac{1}{n}\} \times [\frac{j}{n}, \frac{j+1}{n}]}$ and $\bar{\delta}_j$ to $[a_{j-1}]$

$$\text{i.e. } [a_{j-1}] = [a_{j-1} * \delta_j * \bar{\delta}_j]$$

$$\text{Step 3: } [a_{j-1} * \delta_j * \bar{\delta}_j]^H \stackrel{(1)}{=} [a_{j-1} * \delta_j]^H [\bar{\delta}_j]^H [a_j]^H$$

$$\stackrel{(2)}{=} [a_{j-1} * \delta_j]^G [\bar{\delta}_j]^H [a_j]^H \stackrel{(1)}{=} [a_{j-1} * \delta_j]^G [\bar{\delta}_j * a_j]^H$$

Step 4: Use (1) to combine loops in same G or H
(re make reduced word)

and note each letter in reduced word
is also represented by a product of paths

$$a'_j = H|_{[\frac{j}{n}, \frac{j+1}{n}] \times \{\frac{j+1}{n}\}} \text{ (via homotopy } H)$$

Step 5: Use (1) to break this word into $[a'_1], \dots, [a'_n]$

